Laura K. Funk, Partner
Cybersecurity & Data Privacy Team
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July 13, 2026

VIA ONLINE PORTAL
Attorney General Mike Hilgers
Office of the Attorney General
Consumer Affairs Response Team
2115 State Capital
Lincoln, NE 68509

Re: Notice of Data Security Incident

Dear Attorney General Hilgers:

Constangy, Brooks, Smith & Prophete, LLP represents Aligned Wealth Group ("AWG"), a provider of financial advisory services based out of Missouri, in connection with a recent data security incident described in greater detail below. The purpose of this letter is to notify you of the incident in accordance with Nebraska's data breach notification statute.

Nature of the Security Incident

On or about April 21, 2026, AWG became aware of suspicious activity in its email environment. In response, AWG immediately took steps to secure its email tenant and launched an investigation with the assistance of forensic experts to determine what happened and whether sensitive or personal information may have been affected. As a result of the investigation, AWG identified that the contents of a single mailbox may have been acquired without authorization. AWG then engaged an independent team to conduct a comprehensive review of all potentially affected data within the mailbox, and on June 1, 2026, that review determined that certain personal information may have been contained within the affected data. AWG then worked diligently to identify correct contact information to effectuate notification and prepare the services being offered to affected individuals. This process was completed on July 8, 2026.

The information varied between individuals but may have included name, date of birth, driver's license or state identification number, financial account number, and/or Social Security number. Please note that we have no current evidence to suggest misuse or attempted misuse of personal information involved in the incident.

Number of Nebraska Residents Involved

On July 13, 2026, AWG notified three (3) Nebraska residents of this data security incident via U.S. First-Class Mail. A sample copy of the notification letter sent to the impacted individuals is included with this correspondence.

Steps Taken to Address the Incident

In response to the incident, AWG is providing individuals with information about steps that they can take to help protect their personal information, and, out of an abundance of caution, it is also offering individuals complimentary credit monitoring and identity protection services through IDX. This includes 12 months of credit and CyberScan dark web monitoring, a $1,000,000 insurance reimbursement policy, and fully managed identity theft recovery services. Additionally, to help reduce the risk of a similar future incident, AWG has implemented additional technical security measures throughout the environment.

Contact Information

AWG remains dedicated to protecting the information in its control. If you have any questions or need additional information, please do not hesitate to contact me at LFunk@Constangy.com.

Sincerely,

Laura K. Funk, Partner
CONSTANGY, BROOKS, SMITH & PROPHETE, LLP

Enclosure: Sample Notification Letter

Secure Processing Center:
P.O. Box 989728
West Sacramento, CA 95798-9728

Enrollment Code: <<XXXXXXXX>>
Enrollment Deadline: October 13, 2026

To Enroll, Scan the QR Code Below:

SCAN ME

Or Visit:
https://app.idx.us/account-creation/protect

<<First Name>> <<Last Name>>
<<Address1>>
<<Address2>>
<<City>>, <<State>> <<Zip>>

July 13, 2026

Re: Notice of Data Security Incident

Dear <<First Name>> <<Last Name>>,

We are writing to inform you of a recent data security incident experienced by an independent financial advisor with Aligned Wealth Group ("AWG") that may have involved your personal information. At AWG, we take the privacy and security of all information within our possession very seriously. This is why we are notifying you of the incident, providing you with steps you can take to help protect your personal information, and offering you the opportunity to enroll in complimentary credit monitoring and identity protection services.

What Happened? On or around April 21, 2026, AWG became aware of suspicious activity in our email environment. We immediately took steps to ensure the security of our email tenant and launched an investigation with the assistance of independent forensic experts to determine what happened and what data might have been affected during the incident, if any. As a result of the investigation, we identified that the contents of a single mailbox may have been acquired without authorization. AWG then engaged an independent team to conduct a comprehensive review of all potentially affected data within the mailbox, and on June 1, 2026, that review determined that certain personal information may have been involved. AWG then worked diligently to identify contact information to effectuate notification and prepare the services being offered to affected individuals, as provided in more detail below. This process was completed on July 8, 2026.

What Information Was Involved? The information involved may have included your name, <<Variable Text – Data Elements>>. **Please note that we have no current evidence to suggest the misuse or attempted misuse of your personal information to perpetrate identity fraud.** Nonetheless, out of an abundance of caution, we are notifying you of this incident and offering resources to help you protect your personal information.

What We Are Doing. As soon as AWG learned of the incident, we took the measures described above and implemented additional security features to reduce the risk of a similar incident occurring in the future. We are also providing you with information about steps you can take to help protect your personal information.

Additionally, we are offering you the opportunity to enroll in credit monitoring and identity protection services through IDX at no cost to you. The IDX services, which are free to you upon enrollment, include 12 months of credit and CyberScan dark web monitoring, a $1,000,000 insurance reimbursement policy, and fully managed identity theft recovery services. With this protection, IDX will help you resolve issues if your identity is compromised.

What You Can Do. Please review this letter carefully, along with the guidance included with this letter about additional steps you can take to protect your information. In addition, we encourage you to enroll in the credit monitoring and identity theft protection services we are offering through IDX at no cost to you. To receive credit monitoring services, you must be over the age of 18 and have established credit in the U.S., have a Social Security number in your name, and have a U.S. residential address associated with your credit file.

You can enroll in the IDX identity protection services by calling 1-833-788-9712 or going to https://app.idx.us/account-creation/protect and using the Enrollment Code provided above. IDX representatives are available Monday through Friday from 8:00 a.m. to 8:00 p.m. Central Time, excluding U.S. holidays. Please note the deadline to enroll is October 13, 2026.

For More Information. If you have questions about the incident, please call IDX at 1-833-788-9712, Monday through Friday from 8:00 a.m. to 8:00 p.m. Central Time. IDX representatives are fully versed on this incident and can answer questions you may have regarding the protection of your personal information.

Please accept our sincere apologies and know that we deeply regret any concern or inconvenience that this may cause you.

Sincerely,

Aligned Wealth Group
Adam Bethel, Financial Advisor
3621 Discovery Parkway
Suite 123
Columbia, MO 65201

Steps You Can Take to Help Protect Your Personal Information

Review Your Account Statements and Notify Law Enforcement of Suspicious Activity: As a precautionary measure, we recommend that you remain vigilant by reviewing your account statements and credit reports closely. If you detect any suspicious activity on an account, you should promptly notify the financial institution or company with which the account is maintained. You also should promptly report any fraudulent activity or any suspected incidence of identity theft to proper law enforcement authorities, your state attorney general, and/or the Federal Trade Commission (the "FTC").

Copy of Credit Report: You may obtain a free copy of your credit report from each of the three major credit reporting agencies once every 12 months by visiting www.annualcreditreport.com/, calling toll-free 1-877-322-8228, or by completing an Annual Credit Report Request Form and mailing it to Annual Credit Report Request Service, P.O. Box 105281, Atlanta, GA 30348. You also can contact one of the following three national credit reporting agencies:

Equifax	Experian	TransUnion
P.O. Box 105851	P.O. Box 9532	P.O. Box 2000
Atlanta, GA 30348	Allen, TX 75013	Chester, PA 19016
1-800-525-6285	1-888-397-3742	1-833-799-5355
www.equifax.com	www.experian.com	www.transunion.com/get-credit-report

Fraud Alert: You may want to consider placing a fraud alert on your credit report. An initial fraud alert is free and will stay on your credit file for at least one year. The alert informs creditors of possible fraudulent activity within your report and requests that the creditor contact you prior to establishing any accounts in your name. To place a fraud alert on your credit report, contact any of the three credit reporting agencies identified above. Additional information is available at www.annualcreditreport.com. For TransUnion: www.transunion.com/fraud-alerts.

Security Freeze: You have the right to put a security freeze on your credit file for up to one year at no cost. This will prevent new credit from being opened in your name without the use of a PIN number that is issued to you when you initiate the freeze. A security freeze is designed to prevent potential creditors from accessing your credit report without your consent. As a result, using a security freeze may interfere with or delay your ability to obtain credit. You must separately place a security freeze on your credit file with each credit reporting agency. In order to place a security freeze, you may be required to provide the consumer reporting agency with information that identifies you including your full name, Social Security number, date of birth, current and previous addresses, a copy of your state-issued identification card, and a recent utility bill, bank statement or insurance statement. For TransUnion: www.transunion.com/credit-freeze.

Additional Free Resources: You can obtain information from the consumer reporting agencies, the FTC, or from your respective state Attorney General about fraud alerts, security freezes, and steps you can take toward preventing identity theft. You may report suspected identity theft to local law enforcement, including to the FTC or to the Attorney General in your state.

Federal Trade Commission	Missouri Attorney General	Maryland Attorney General
600 Pennsylvania Ave, NW	207 W. High St.	St. Paul Plaza
Washington, DC 20580	P.O. Box 899	200 St. Paul Place
consumer.ftc.gov	Jefferson City, MO 65102	Baltimore, MD 21202
877-438-4338	ago.mo.gov/	oag.maryland.gov/
	800-392-8222	1-888-743-0023
California Attorney General	**New York Attorney General**	**Rhode Island Attorney General**
1300 I Street	The Capitol	150 South Main Street
Sacramento, CA 95814	Albany, NY 12224	Providence, RI 02903
oag.ca.gov/privacy	ag.ny.gov	riag.ri.gov
800-952-5225	800-771-7755	401-274-4400

NC Attorney General
9001 Mail Service Center
Raleigh, NC 27699
ncdoj.gov/protectingconsumers/
877-566-7226

New Mexico Attorney General
408 Galisteo Street, Villagra Building
Santa Fe, NM 87501
nmdoj.gov/
505-490-4060

Washington D.C. Attorney General
400 S 6th Street, NW
Washington, DC 20001
oag.dc.gov/consumer-protection
202-442-9828

You also have certain rights under the Fair Credit Reporting Act (FCRA): These rights include to know what is in your file; to dispute incomplete or inaccurate information; to have consumer reporting agencies correct or delete inaccurate, incomplete, or unverifiable information; as well as other rights. For more information about the FCRA, and your rights pursuant to the FCRA, please visit www.consumer.ftc.gov/sites/default/files/articles/pdf/pdf-0096-fair-credit-reporting-act.pdf.