Appendix

On September 30, 2024, Robertson identified suspicious activity associated with an employee's email account. Upon identifying the activity, Robertson promptly took steps to secure the account and began its investigation. Robertson engaged a cyber security firm experienced in these matters to assist with the investigation. The investigation determined that an unauthorized person accessed the email account between August 19 and August 22, 2024. All emails and attachments within the email account were reviewed to determine if they contained any personal information. On May 8, 2025, it was determined that one or more of the emails and/or attachments contained the personal information of one Nebraska resident including the individual's name, Social Security number and financial account number.

On May 30, 2025, Robertson mailed a notification letter via United States Postal Service First-Class mail to the Nebraska resident. A copy of the notification is enclosed. Robertson is offering one year of complimentary credit monitoring, fraud consultation, and identity theft restoration services through Kroll to the notified residents. Robertson has established a dedicated, toll-free call center for individuals to call with questions.

To help prevent a similar event from occurring in the future, Robertson has taken, and will continue to take, steps to enhance the security of its email environment.

ROBERTSON INVESTMENT MANAGEMENT

[redacted]

[redacted]

Dear [redacted]

We are writing to inform you of an email incident at Robertson Investment Management that may have involved some of your information. Robertson Investment Management is an entity that is not affiliated with Osaic Wealth, Inc. ("Osaic") but whose financial professionals were registered with Osaic for the sale of securities products and provision of financial advice. This letter explains the incident, the measures we have taken, and some additional steps you may consider taking.

On September 30, 2024, our firm identified suspicious activity associated with an employee's email account. Upon identifying the activity, we promptly took steps to secure the account and began our investigation. We engaged a cyber security firm experienced in these matters to assist with our investigation. The investigation determined that an unauthorized person accessed the email account between August 19 and August 22, 2024. All emails and attachments within the email account were reviewed to determine if they contained any personal information. On May 8, 2025, it was determined that one or more of the emails and/or attachments the unauthorized person potentially accessed contained your name and the following: [redacted]

We have arranged to provide identity monitoring at no cost to you for [redacted] months through Kroll. The identity monitoring services we are making available to you include Credit Monitoring, Fraud Consultation, and Identity Theft Restoration.

Visit **https://enroll.krollmonitoring.com** to activate and take advantage of your identity monitoring services.

You have until [redacted] *to activate your identity monitoring services.*

Membership Number: [redacted]

For more information on additional steps you can take to help protect your identity and Kroll identity monitoring, please see the additional information provided with this letter.

We apologize for any concern or inconvenience this incident may cause. We have taken, and will continue to take, steps to enhance the security of our email environment. If you have any questions about this incident, please call (866) 461-3656, Monday through Friday, 9:00 a.m. to 6:30 p.m. Eastern, excluding some major U.S. holidays.

Thank you for your understanding and cooperation.

Sincerely,

Robertson Investment Management

ELN-24341

KROLL

Take Advantage of Your Identity Monitoring Services

You've been provided with access to the following services from Kroll:

Single Bureau Credit Monitoring

You will receive alerts when there are changes to your credit data – for instance, when a new line of credit is applied in your name. If you do not recognize the activity, you'll have the option to call a Kroll fraud specialist, who can help you determine if it's an indicator of identity theft

Fraud Consultation

You have unlimited access to consultation with a Kroll fraud specialist. Support included showing you the most effective ways to protect your identity, explaining your rights and protection under the law, assistance with fraud alerts, and interpreting how personal information is accessed and used, including investigation suspicious activity that could be tied to an identity theft incident.

Identity Theft Restoration

If you become a victim of identity theft, an experienced Kroll licensed investigator will work on your behalf to resolve related issues. You will have access to a dedicated investigator who understands your issues and can do most of the work for you. Your investigator can dig deep to uncover the scope of the identity theft, and then work to resolve it.

ADDITIONAL STEPS YOU CAN TAKE

We remind you it is always advisable to be vigilant for incidents of fraud or identity theft by reviewing your account statements and free credit reports for any unauthorized activity. You may obtain a copy of your credit report, free of charge, once every 12 months from each of the three nationwide credit reporting companies. To order your annual free credit report, please visit www.annualcreditreport.com or call toll free at 1-877-322-8228. Contact information for the three nationwide credit reporting companies is as follows:

- *Equifax*, PO Box 740241, Atlanta, GA 30374, www.equifax.com, 1-800-685-1111
- *Experian*, PO Box 2002, Allen, TX 75013, www.experian.com, 1-888-397-3742
- *TransUnion*, PO Box 1000, Chester, PA 19016, www.transunion.com, 1- 833-799-5355

If you believe you are the victim of identity theft or have reason to believe your personal information has been misused, you should immediately contact the Federal Trade Commission and/or the Attorney General's office in your state. You can obtain information from these sources about steps an individual can take to avoid identity theft as well as information about fraud alerts and security freezes. You should also contact your local law enforcement authorities and file a police report. Obtain a copy of the police report in case you are asked to provide copies to creditors to correct your records. Contact information for the Federal Trade Commission is as follows:

- *Federal Trade Commission*, Consumer Response Center, 600 Pennsylvania Avenue NW, Washington, DC 20580, 1-877-IDTHEFT (438-4338), www.identitytheft.gov

Fraud Alerts and Credit or Security Freezes:

Fraud Alerts: There are two types of general fraud alerts you can place on your credit report to put your creditors on notice that you may be a victim of fraud—an initial alert and an extended alert. You may ask that an initial fraud alert be placed on your credit report if you suspect you have been, or are about to be, a victim of identity theft. An initial fraud alert stays on your credit report for one year. You may have an extended alert placed on your credit report if you have already been a victim of identity theft with the appropriate documentary proof. An extended fraud alert stays on your credit report for seven years.

To place a fraud alert on your credit reports, contact one of the nationwide credit bureaus. A fraud alert is free. The credit bureau you contact must tell the other two, and all three will place an alert on their versions of your report.

For those in the military who want to protect their credit while deployed, an Active Duty Military Fraud Alert lasts for one year and can be renewed for the length of your deployment. The credit bureaus will also take you off their marketing lists for pre-screened credit card offers for two years, unless you ask them not to.

Credit or Security Freezes: You have the right to put a credit freeze, also known as a security freeze, on your credit file, free of charge, which makes it more difficult for identity thieves to open new accounts in your name. That's because most creditors need to see your credit report before they approve a new account. If they can't see your report, they may not extend the credit.

How do I place a freeze on my credit reports? There is no fee to place or lift a security freeze. Unlike a fraud alert, you must separately place a security freeze on your credit file at each credit reporting company. For information and instructions to place a security freeze, contact each of the credit reporting agencies at the addresses below:

- *Equifax Security Freeze*, PO Box 105788, Atlanta, GA 30348, www.equifax.com
- *Experian Security Freeze*, PO Box 9554, Allen, TX 75013, www.experian.com
- *TransUnion Security Freeze*, PO Box 160, Woodlyn, PA 19094, www.transunion.com

You'll need to supply your name, address, date of birth, Social Security number and other personal information. After receiving your freeze request, each credit bureau will provide you with a unique PIN (personal identification number) or password. Keep the PIN or password in a safe place. You will need it if you choose to lift the freeze.

How do I lift a freeze? A freeze remains in place until you ask the credit bureau to temporarily lift it or remove it altogether. If the request is made online or by phone, a credit bureau must lift a freeze within one hour. If the request is made by mail, then the bureau must lift the freeze no later than three business days after getting your request.

If you opt for a temporary lift because you are applying for credit or a job, and you can find out which credit bureau the business will contact for your file, you can save some time by lifting the freeze only at that particular credit bureau. Otherwise, you need to make the request with all three credit bureaus.

The business address for Robertson Investment Management is 919 Milam St STE 550, Houston, TX 77002 and can be reached by telephone at (713) 622-4077.

Additional information for residents of the following states:

New York: You may contact and obtain information from these state agencies: *New York Department of State Division of Consumer Protection,* One Commerce Plaza, 99 Washington Ave., Albany, NY 12231-0001, 518-474-8583 / 1-800-697-1220, http://www.dos.ny.gov/consumerprotection; and *New York State Office of the Attorney General,* The Capitol, Albany, NY 12224-0341, 1-800-771-7755, https://ag.ny.gov

North Carolina: You may contact and obtain information from your state attorney general at: *North Carolina Attorney General's Office*, 9001 Mail Service Centre, Raleigh, NC 27699, 1-919-716-6000 / 1-877-566-7226, www.ncdoj.gov

A Summary of Your Rights Under the Fair Credit Reporting Act: The federal Fair Credit Reporting Act (FCRA) promotes the accuracy, fairness, and privacy of information in the files of consumer reporting agencies. There are many types of consumer reporting agencies, including credit bureaus and specialty agencies (such as agencies that sell information about check writing histories, medical records, and rental history records). Your major rights under the FCRA are summarized below. For more information, including information about additional rights, go to www.consumerfinance.gov/learnmore or write to: Consumer Financial Protection Bureau, 1700 G Street NW, Washington, DC 20552.

- You must be told if information in your file has been used against you.
- You have the right to know what is in your file.
- You have the right to ask for a credit score.
- You have the right to dispute incomplete or inaccurate information.
- Consumer reporting agencies must correct or delete inaccurate, incomplete, or unverifiable information.
- Consumer reporting agencies may not report outdated negative information.
- Access to your file is limited.
- You must give your consent for reports to be provided to employers.
- You may limit "prescreened" offers of credit and insurance you get based on information in your credit report.
- You have a right to place a "security freeze" on your credit report, which will prohibit a consumer reporting agency from releasing information in your credit report without your express authorization.
- You may seek damages from violators.
- Identity theft victims and active duty military personnel have additional rights.